

## **POLITICAL SCIENCE 240/IRGN 254: International Relations Theory**

Professors Miles Kahler and David A. Lake  
Winter Quarter 2002  
Tuesdays, 1:30 PM – 4:20 PM

### **Course readings:**

The following books are available for purchase at the UCSD bookstore:

Peter J. Katzenstein, Robert O. Keohane, and Stephen D. Krasner, eds., *Exploration and Contestation in the Study of World Politics*. Cambridge, MA: MIT Press, 1999.

David A. Lake and Robert Powell, eds., *Strategic Choice and International Relations*. Princeton: Princeton University Press, 1999.

Kenneth N. Waltz, *Theory of International Politics*. Reading, MA: Addison Wesley, 1979.

Margaret E. Keck and Kathryn Sikkink, *Activists Beyond Borders: Advocacy Networks in International Politics*. Ithaca: Cornell University Press, 1998.

Peter J. Katzenstein, ed., *The Culture of National Security: Norms and Identity in World Politics*. New York: Columbia University Press, 1996.

Helen V. Milner, *Interests, Institutions, and Information: Domestic Politics and International Relations*. Princeton: Princeton University Press, 1997.

Copies of these books are also on reserve at the IR/PS library. All other readings are available on the course website (some through JSTOR): <http://>

**Course requirements.** Written (75%): three five-page papers due before the class session in question. Participation (25%): active participation in the seminar discussions and leadership role in those discussions when designated.

### **I. January 8. Introduction: international relations, politics, and paradigms**

Miles Kahler, "Inventing International Relations: International Relations Theory after 1945," in Michael W. Doyle and G. John Ikenberry, eds., *New Thinking in International Relations Theory* (Boulder, CO: Westview Press, 1997), pp. 20-53

Katzenstein, Keohane, and Krasner, eds., *Exploration and Contestation in the Study of World Politics*, articles by Katzenstein, Keohane, and Krasner; Wæver; Milner; Ruggie. [Also available in *International Organization* 52, 4 (Autumn 1998).]

David A. Lake and Robert Powell, "International Relations: A Strategic-Choice Approach," in Lake, and Powell, eds., *Strategic Choice and International Relations*, pp. 3-38.

## **II. January 15. Identifying units: states and other international actors**

Hendrik Spruyt, *The Sovereign State and Its Competitors* (Princeton, NJ: Princeton University Press, 1994), chapters 2, 3, 8, and 9.

Stephen D. Krasner, "Compromising Westphalia," *International Security* 20, 3 (Winter 1995/96), 115-151.

Kenneth Waltz, *Theory of International Politics* (Reading, MA: Addison-Wesley, 1979), chapter 5.

Robert H. Jackson, "Quasi-states, Dual Regimes, and Neoclassical Theory: International Jurisprudence and the Third World," *International Organization* 41, 4 (Autumn 1987), pp. 519-49.

Ronald L. Jepperson, Alexander Wendt, and Peter J. Katzenstein, "Norms, Identity, and Culture in National Security," in Katzenstein, ed., *Culture and National Security*, pp. 33-75.

Christian Reus-Smit, "The Constitutional Structure of International Society and the Nature of Fundamental Institutions," *International Organization* 51, 4 (Autumn 1997), pp. 555-89.

Kal Raustiala, "States, NGOs, and International Environmental Institutions," *International Studies Quarterly*, 41, 4 (December 1997), pp. 719-740.

## **III. January 22. Preferences and perceptions: individuals, groups and beliefs**

Jeffrey Frieden, "Actors and Preferences in International Relations," in Lake and Powell, eds., *Strategic Choice*, pp. 39-76.

Miles Kahler, "Rationality in International Relations," in Katzenstein, Keohane, and Krasner, eds., *Exploration and Contestation in the Study of World Politics*, pp. 279-301.

Robert Jervis, "Hypotheses on Misperception," *World Politics* 20, 3 (April 1968), pp. 454-79.

Arthur A. Stein, "When Misperception Matters," *World Politics* 34, 4 (July 1982), pp. 505-526.

Jack S. Levy, "Prospect Theory, Rational Choice, and International Relations," *International Studies Quarterly*, 41, 1 (March 1997), pp. 87-112

Ronald Rogowski, Political Cleavages and Changing Exposure to Trade, *American Political Science Review*, 81, 4 (December 1987), pp. 1121-1137; OR

Jeffrey A. Frieden and Ronald Rogowski, "The Impact of the International Economy on National Policies: An Analytical Overview," in Robert O. Keohane and Helen V. Milner, eds., *Internationalization and Domestic Politics* (New York: Cambridge University Press, 1996), pp. 25-47

Michael Hiscox, "Inter-Industry Factor Mobility and the Politics of Trade," *International Organization*, 55, 1 (Winter 2001), pp. 1-46.

Chaim D. Kaufman and Robert A. Pape, "Explaining Costly International Moral Action," *International Organization*, 53, 4 (Autumn 1999), pp. 631-668.

Jack Snyder, *Myths of Empire: Domestic Politics and International Ambition* (Ithaca, NY: Cornell University Press, 1991), pp.1-20 and 66-111.

#### **IV. January 29. Domestic institutions and international behavior**

Ronald Rogowski, "Institutions as Constraints on Strategic Choice," in Lake and Powell, eds., *Strategic Choice*, pp. 115-136

Graham Allison, "Conceptual Models and the Cuban Missile Crisis," *American Political Science Review* 63 (1969), pp. 689-718.

Jonathan Bendor and Thomas H. Hammond, "Rethinking Allison's Models," *American Political Science Review* 86, 2 (June 1992), pp. 301-22.

Milner, *Interests, Institutions, and Information*, chapters 1-4, 9 and one of the remaining chapters (5-8)

Lisa L. Martin, *Democratic Commitments: Legislatures and International Cooperation* (Princeton, NJ: Princeton University Press, 2000), chapters 1-3, 7, and 8.

Bueno de Mesquita, Bruce, James D. Morrow, Randolph M. Siverson, and Alastair Smith, "An Institutional Explanation of the Democratic Peace," *American Political Science Review* 93 (December 1999), pp. 791-807.

Kenneth A. Schultz, "Do Democratic Institutions Constrain or Inform: Contrasting Two Institutional Perspectives on Democracy and War," *International Organization* 53 (Spring 1999) pp. 233-266.

Peter F. Cowhey, "Domestic Institutions and the Credibility of International Commitments: Japan and the United States," *International Organization* 47, 2 (Spring 1993), pp. 299-326.

Andrew MacIntyre, "Institutions and Investors: The Politics of Economic Crisis in Southeast Asia," *International Organization*, 55, 1 (Winter 2001), pp. 81-122.

## **V. February 5. Relations among units: bargaining, strategic interaction, and alliance formation**

James D. Morrow, "The Strategic Setting of Choices: Signaling, Commitment, and Negotiation in International Politics," in Lake and Powell, *Strategic Choice*, pp. 77-114

Kenneth A. Oye, "Explaining Cooperation under Anarchy: Hypotheses and Strategies," in Kenneth A. Oye, ed., *Cooperation under Anarchy* (Princeton, NJ: Princeton University Press, 1986), pp.1-24.

James Fearon, "Bargaining, Enforcement, and International Cooperation," *International Organization*, 52, 2 (Spring 1998), pp. 269-305.

Robert Powell, *In the Shadow of Power: States and Strategies in International Politics* (Princeton, NJ: Princeton University Press, 1999), chapters 1, 3, 4, and 5.

Waltz, *Theory of International Politics*, pp. 116-128 and 161-170.

Stephen Walt, *The Origins of Alliances* (Ithaca, NY: Cornell University Press, 1987), chapters 1, 2, and 5.

Thomas Risse-Kappen, "Collective Identity in a Democratic Community: The Case of NATO," in Katzenstein, ed., *Culture of National Security*, pp. 357-399.

## **VI. February 12. Relations among units: anarchy, hierarchy, and networks**

Waltz, *Theory of International Politics*, pp. 102-116 and 138-160.

Robert Jervis, "Cooperation Under the Security Dilemma," *World Politics*, 30 (1978), pp. 167-214.

Alex Wendt, "Anarchy is What States Make of It: The Social Construction of Power Politics," *International Organization* 46 (Spring 1992), 391-425.

Michael W. Doyle, *Empires* (Ithaca, NY: Cornell University Press, 1986), chapters 1 and 13.

David A. Lake, "Anarchy, hierarchy, and the variety of international relations," *International Organization*, 50, 1 (Winter 1996), pp. 1-34.

Keck and Sikkink, *Activists Beyond Borders*, chapters 1, 4 and 6.

## **VII. February 19. Relations among units: international institutions**

- Peter Gourevitch, "The Governance Problem in International Relations," in Lake and Powell, eds., *Strategic Choice*, pp. 137-164.
- Lisa L. Martin and Beth Simmons, "Theories and Empirical Studies of International Institutions," in Katzenstein, Keohane, and Krasner, eds., *Exploration and Contestation*, pp. 89-117.
- James G. March and Johan P. Olsen, "The Institutional Dynamics of International Political Orders," in Katzenstein, Keohane, and Krasner, eds., *Exploration and Contestation*, pp. 303-329.
- Robert O. Keohane, *After Hegemony: Cooperation and Discord in the World Political Economy* (Princeton, NJ: Princeton University Press, 1984), chapters 5 and 6.
- Judith Goldstein, Miles Kahler, Robert O. Keohane, and Anne-Marie Slaughter, eds., *Legalization and World Politics*, chapters by Abbott et al., Abbott and Snidal, Kahler. [Also available in *International Organization* 54, 3 (Summer 2000)]
- Barbara Koremenos, Charles Lipson, and Duncan Snidal, "The Rational Design of International Institutions," *International Organization* 55, 4 (Autumn 2001), pp.761-799.
- George W. Downs, David M. Rocke, and Peter N. Barsoom, "Managing the Evolution of Multilateralism," *International Organization*, 52, 2 (Spring 1998), pp. 397-419.

### **VIII. February 26. Systems, environments, and outcomes**

- Miles Kahler, "Evolution, Choice, and International Change," in Lake and Powell, eds., *Strategic Choice*, pp. 165-196
- Waltz, *Theory of International Politics*, pp.170-193.
- Robert Jervis, *System Effects: Complexity in Political and Social Life* (Princeton, NJ: Princeton University Press, 1997), chapters 1, 2 and 4.
- Lars-Erik Cederman, *Emergent Actors in World Politics: How States and Nations Develop and Dissolve* (Princeton, NJ: Princeton University Press, 1997), chapters 1-3.
- Bruce Russett and John Oneal, *Triangulating Peace: Democracy, Interdependence, and International Organizations* (New York: W. W. Norton, 2001), chapters 1, 4-6.
- Geoffrey Garrett, "Global Markets and National Politics: Collision Course of Virtuous Circle?" in Katzenstein, Keohane, and Krasner, eds., *Exploration and Contestation*, pp. 147-184.

### **IX. March 5. Explaining war**

- James D. Fearon, "Rationalist Explanations for War," *International Organization*, 49 (1995), pp. 379-414.

- Erik Gartzke, "War is in the Error Term," *International Organization*, 53, 3 (Summer 1999), pp. 567-587.
- R. Harrison Wagner, "Bargaining and War," *American Journal of Political Science* 44, 3 (July 2000), pp. 469-484.
- Dale C. Copeland, *The Origins of Major War* (Ithaca, NY: Cornell University Press, 2000), chapters 1, 2, 8, 9.
- Stephen Van Evera, "Offense, Defense, and the Causes of War," *International Security*, 22, 4 (Spring 1998), pp. 5-43.
- Robert Jervis, "War and Misperception," in Robert I. Rotberg and Theodore K. Rabb, eds., *The Origin and Prevention of Major Wars*, pp. 101-126.

#### **X. March 12. Is international politics different? Human rights and internal war**

- Martha Finnemore and Kathryn Sikkink, "International Norm Dynamics and Political Change," in Katzenstein, Keohane, and Krasner, eds., *Exploration and Contestation*, pp. 247-277.
- Andrew Moravcsik, "The Origins of Human Rights Regimes," *International Organization*, 54, 2 (Spring 2000), pp. 217-252.
- Keck and Sikkink, *Activists Beyond Borders*, chapters 3 and 5.
- Martha Finnemore, "Constructing Norms of Humanitarian Intervention," in Katzenstein, ed., *Culture of National Security*, pp. 153-185.
- David A. Lake and Donald Rothchild, "Containing Fear: The Origins and Management of Ethnic Conflict," *International Security* 21, 2 (Fall 1996), pp. 41-75.
- James D. Fearon and David Laitin, "Explaining Interethnic Cooperation," *American Political Science Review*, 90 (1996), pp. 715-735.
- Rui J. P. de Figueiredo Jr. and Barry R. Weingast, "The Rationality of Fear: Political Opportunism and Ethnic Conflict," in Barbara Walter and Jack Snyder, eds., *Civil Wars, Insecurity, and Intervention* (New York: Columbia University Press, 1999), pp. 261-302.
- Barbara F. Walter, "The Critical Barrier to Civil War Settlement," *International Organization* 51, 3 (Summer 1997), pp. 335-364.